

Fees in effect from 01/07/2018 - Please check the website [www.strateo.ch](http://www.strateo.ch) for current fees

## > 1. FEES FOR THE MAIN STOCK EXCHANGE TRANSACTIONS

### 1.1. Equity markets - online markets

EUROPEAN STOCK EXCHANGES	
<u>SIX Swiss Exchange</u>	
Per order less than or equal to CHF 500	CHF 7,50
Per order between CHF 500 and CHF 2'000	CHF 15
Per order between CHF 2'000 and CHF 10'000	CHF 25
Per order between CHF 10'000 and CHF 20'000	CHF 35
Per order and per additional tranche of CHF 20'000	CHF 25
<u>London (SETS)</u>	
Per order less than or equal to GBP 2'000	GBP 15
Per order between 2'000 GBP and GBP 10'000	GBP 30
Per order between 10'000 GBP and GBP 20'000	GBP 35
Per order and per additional tranche of GBP 20'000	GBP 30
<u>Frankfurt (Xetra), Milan (Borsa italiana), Madrid (Bolsa de Madrid)</u>	
Per order less than or equal to € 500	€ 10
Per order between € 500 and € 2'000	€ 15
Per order between € 2'000 and € 10'000	€ 30
Per order between € 10'000 and € 20'000	€ 35
Per order and per additional tranche of € 20'000	€ 30
<u>Euronext (Amsterdam, Brussels, Paris)</u>	
Per order less than or equal to € 500	€ 7,5
Per order between € 500 € and € 2'000	€ 15
Per order between € 2'000 and € 10'000	€ 25
Per order between € 10'000 € and € 20'000	€ 30
Per order and per additional tranche of € 20'000	€ 25
<u>Helsinki (OMX)</u>	
Per order and per additional tranche of € 20'000	€ 25
<u>Stockholm (OMX)</u>	
Per order and per additional tranche of SEK 200'000	SEK 375
<u>Copenhagen (OMX) / Oslo (Oslo Børs)</u>	
Per order and per additional tranche of DKK/NOK 200'000	DKK/NOK 300

AMERICAN MARKETS	
<u>USA (NYSE, NASDAQ, AMEX, OTC-BB)</u>	
Per order less than or equal to \$ 500	\$ 10
Per order between \$ 500 and \$ 2'000	\$ 15
Per order between \$ 2'000 and \$ 10'000	\$ 30
Per order between \$ 10'000 and \$ 20'000	\$ 35
Per order and per additional tranche of \$ 20'000	\$ 30
<u>Canadian Markets (TSX &amp; TSX Venture)</u>	
Per order less than or equal to CAD 2'000	CAD 20
Per order between CAD 2'000 and CAD 10'000	CAD 30
Per order between CAD 10'000 and CAD 20'000	CAD 35
Per order and per additional tranche of CAD 20'000	CAD 30

### 1.2. Funds - online markets

Transaction	CHF 20 per transaction
<i>Please note that a different fee applies to the Carmignac and Petercam funds. On Carmignac and Petercam funds, the entry fee is 1.00% of your purchase amount instead of CHF 20. Offline fund purchases: please contact us.</i>	

### 1.3. Exchange traded funds (trackers) - online markets

ETF Leaders (flat fee)	CHF 9*
Other ETF	Same fee structure as for shares
<i>* Offer valid for ETF Leaders listed in CHF on SIX Swiss Exchange and for orders between CHF 500 and CHF 250.000.</i>	

### 1.4. Options markets - online markets

<b>EUREX</b>	
• Index and equity options in € Per contract (with a min. of € 15 per transaction)	€ 2,50
• Index and equity options in CHF Per contract (with a min. of CHF 15 per transaction)	CHF 2,50
<b>EXERCICE*/ ASSIGNMENT:</b>	
• Equity options: (with a min. of €/CHF 20 per transaction)	0,30% of the strike price
• Index options (Cash Settlement) (with a min. of €/CHF 20 per transaction)	€/CHF 4,75 per contract
<b>EURONEXT (AMSTERDAM, BRUSSELS, PARIS)</b>	
Per contract (with a min. of € 15 per transaction)	€ 2,95
<b>EXERCICE*/ ASSIGNMENT:</b>	
• Equity options: (with a min. of € 20 per transaction)	0,30% of the strike price
• Index options (Cash Settlement) (with a min. of € 20 per transaction)	€ 4,75 per contract
<b>USA</b>	
Per contrat (with a min. of 20 \$ per transaction)	\$ 2,50
<b>EXERCICE*/ ASSIGNMENT:</b>	
• Equity options: (with a min. of \$ 20 per transaction)	0,30% of the strike price
• Index options (Cash Settlement) (with a min. of \$ 20 per transaction)	\$ 2,50 per contract
<i>*Exercising options is not possible at Strateo. However if the options are 'in the money' at expiry date, an automatic cash settlement will occur. More information can be found in the market rules on <a href="http://www.strateo.ch">www.strateo.ch</a></i>	

### 1.5. Bonds markets - online markets

Primary market	Issue price
Secondary market	0,20% (with a min. of CHF/€/GBP/USD 30 per transaction)

### 1.6. Strateo Pro (Forex, Futures, CFD)

More information can be found on [www.strateo.ch/en/strateo-pro](http://www.strateo.ch/en/strateo-pro)

### 1.7. Placing orders by phone or fax

Online markets	Online fees + additional cost of CHF 20
Offline market	1,40% (with a min. of CHF/€/GBP/\$ 50 per transaction)

## > 2. QUOTES

Delayed quotes (+/- 15 minutes)	Free
Real-time quotes (RTQ) (non-professional investors only):	
• SIX Swiss Exchange	Free
• Euronext + LIFFE	CHF 3,75/month*
• US-Markets	CHF 7,50/month*
• London - Milan	CHF 15/month*
• Frankfurt (Xetra)	CHF 30/month*
• Eurex	CHF 20/month*
• Helsinki - Stockholm - Copenhagen (OMX)	CHF 30/month*
For Madrid, Oslo and Canadian market, only delayed quotes are available (15 minutes).	
<i>* Free from 3 trades per month within a market group.</i>	

## > 3. OTHER STOCK EXCHANGE SERVICES

<u>GLOBAL ANALYZER (detailed analysis note for each share)</u>	
• Basic	Free
• Premium	CHF 25/month <i>Free from 3 trades per month</i>
<u>Order book (5 lines)</u>	
• SIX Exchange	CHF 30/month*
• Euronext	Free
<i>* Free from 3 trades per month within a market group.</i>	

## > 4. BANK TRANSFERS

Incoming bank transfers	Free <i>(correspondent fees may apply, especially on \$)</i>
Outgoing bank transfers	
• to a Swiss bank account	CHF/€/GBP/\$ 2
• to a foreign bank account	CHF/€/GBP/\$15
Cash deposits (CHF, € only)	
• CHF	Free
• €	0,5% (min. € 30)
Cash withdrawal (CHF, € only)	
• CHF	0,5% (min. CHF 30)
• €	0,5% (min. € 30)

## > 5. TRADING ACCOUNT FEES

Account opening of a trading account	Free <i>Your account is automatically multi-currency (CHF, EUR, USD, GBP, CAD, SEK) at no additional cost.</i>
Account maintenance fees	Free*
Account closing	Free
Minimum initial deposit	CHF/€/GBP/\$ 0
Custody Fees	0,02%/Quarter <i>(min. CHF 15/ max CHF 35)</i>
Incoming securities (electronic transfer)	Free
Dividend payments administration	Free
<i>* There is no account maintenance fee if the account shows at least one trading activity (e.g. buy / sell securities) within the 6 previous months or at least 5 transactions in the last 12 previous months. Accounts which do not fulfil this condition will be charged a semiannual account maintenance fee of CHF 25 (charged in September and March).</i>	

## > 6. CORPORATE ACCOUNT FEES

Account opening of a trading account	CHF 200 <i>Your account is automatically multi-currency (CHF, EUR, USD, GBP, CAD, SEK) at no additional cost.</i>
Account maintenance fees	CHF 50/ Semester
Minimum initial deposit	CHF 10'000 <i>(CHF or other currency of choice)</i>
Custody fees	0,02%/ Quarter
Inbound payments	Free
Dividend payments administration	Free

## > 7. INTEREST RATES

Interest rates (trading account) - CHF, €, \$	0,00% /year
<u>Debit interest rates (trading account)</u>	
• CHF	4,75% /year
• €	7,00% /year
• \$	5,25% /year
• Other	10,00% /year
<u>Current interest rates Lombard loan (Margin account)</u>	
• CHF	3,00% /year
• €	3,50% /year
• \$	2,50% /year
<i>The rates can be changed according to market conditions. Please check the website <a href="http://www.strateo.ch">www.strateo.ch</a> for current rates.</i>	

## > 8. OTHER SERVICES

Account holder confirmation	CHF 25
Tax statements	CHF 50
Certificates on demand	CHF 75
Specific research	Please contact us
Securities transfer (out)	CHF 75 / position
Costs for corporate actions	CHF 6 <i>(purchase or exchange offer, capital increase, etc.)</i>
	<i>+ possible correspondent's fee</i>

## > 9. CURRENCY CONVERSION FEES

- Standard currency changes are executed daily, at about 4pm, at the current exchange rate (Interbank exchange rate + 0.60%).
- A preferential rate (Interbank exchange rate + 0.30%) applies for change operations exceeding CHF 50'000 (or equivalent).
- Real time exchange conversion on demand (from CHF 100'000 or equivalent).

## > 10. OTHER GENERAL INFORMATION

- There is no surcharge for partial executions of share trade executions on the same or even on subsequent days.
- The prices do not include the federal stamp duty or any foreign stamp duties and stock market fees or VAT that may apply.
- Securities that cannot be traded online and off-exchange operations are subject to special fees.
- Other costs and conditions may apply for clients whose assets are managed by an independent asset manager. If these costs and conditions are not explained in the contract that has been entered into with their asset manager, they have to contact their asset manager or Strateo in order to find out which costs and conditions apply to their account.
- Custody fees are spent on a quarterly basis based on a daily valuation of the total portfolio value.