

Economic background of the beneficial owner

Beneficial Owner

(To be filled out separately for every beneficial owner)

Mr. Miss
 Last name : First name:
 Address : N°:
 Post Code:..... City :.....
 Country : Date of birth :.....
 Nationality :
 Civil Status: Single Married Divorced/Separated

Name and first name spouse:.....

Relationship to the account holder (i.e. spouse, parent, partner):

Profession / activity

Employee Self-employed Retired

Name of the employer/firm:.....

Sector of activity:.....

Position :.....

Turnover (for independents) :.....

Number of employees (for independents) :.....

Last activity (for retired):.....

Other information about the beneficiary owner (i.e. website) :.....

Amount of the envisaged investments

- < CHF 100'000
- > CHF 100'000 and < 500'000
- > CHF 500'000 → Please clarify:

Origin of the funds (several possible choices):

- Savings from regular income (i.e.salary, interest)
- Stock market profits
- Lottery gains
- Heritage
- Gifts
- Other:.....

P.E.P.

Do/did the beneficial owner exercise a political mandate or a public office on regional, national or international level ?

yes no

Is this the case for one of the beneficial owner's relative (e.g. parents, children or your spouse)?

yes no

If yes :

- Name of the person and link with the beneficiary owner:.....
.....
- Exact title :.....
- Description and role:.....
.....
- Date of the beginning and ending:.....

US person Status

Is the beneficial owner a US citizen (single, double or multiple nationality) ?

yes no

Is the beneficial owner of another nationality, but resident in the US with US resident Alien status (i.e. holder of a permanent US resident permit such as a green card or has been physically present in the US for a period sufficient to qualify a « Substantial Physical Presence » ?

yes no

Is the beneficiary owner an US taxpayer for another reason ?

no yes, for the following reason:.....

Declaration

Each undersigned declares and states that:

- the information in this form is true, exact and complete,
- he commits himself to announce immediately any modification to one of the provided information, specifically a change in the status of (not) resident for tax reasons,
- they warrant by their signature that the assets held with the bank at any time do not originate from criminal activity,
- the income to which this form relates is not actually linked to the performance of a profession of operation of a company in the US, or is actually linked to such activity but is not taxable by virtue of a tax treaty.

Place

Date

Signature